

## **APPLICATION FOR ACCESS TO HEALTH RECORDS** (Form SAR 1)

Please complete Sections 1, 2, 3 and 4.

**Sections 5 and 6** are to be completed if applicable.

All Sections are to be completed in CAPITAL LETTERS.

Please provide as much information as possible which may be of assistance to the Trust in processing your request.

Incomplete forms will be returned which may in turn delay the processing of your request. Please refer to the separate information sheet 'Information for Applicants' in completing this form.

Section 1 – Personal details	
1. Full Name of Patient (Mr/Mrs/Miss/Ms)	5. Any Former Address
Surname	
Forename	
Any Other Forename	6. NHS/ Hospital Number (if known)
2. Date of Birth (dd/mm/yy)	7. Surname and Forename of applicant if different from the patient. (patient authorisation must be provided in Section 5)
3. Contact Telephone Number	8. Address to which reply should be sent (if different from that of patient)
4. Current Address (inc postcode)	



## Section 2 – Details of the record to be accessed Please use this form to request multiple or single document types e.g. if you require copies of the Maternity Record and Main Health Records; please do not submit separate forms for each request. Please complete Step 1 and Step 2 below Step 1; Please circle which Record Type(s) you require copies of: **Medical Record** A&E Record X- Rays Physiotherapy Record **Maternity Record** Step 2; Please provide details of the episode/parts of your health records you require copies of using the table below: Ward/Clinic attended (with dates): Consultant (s) (if known): Please use the space below to provide us with any additional information in order to meet your request to access your health record (attach additional information if necessary)..... I have attached additional information If you wish to View your Records only, please complete step 2 and indicate below - you will then be contacted to make an appointment.



In order to process your request, two types of identification will be required **from the applicant**. (Person receiving the information).

Driving Licence  Driving Licence  Other (please identify)  I declare that the information given by me is correct to the best of my knowledge and that I am entitled to apply for access to the health record referred to above under the terms of the General Data Protection Regulations (2018) and/or Access to Health Records Act (1990).  Please tick One of the following boxes:  I am the patient (complete PART 1	Section 3 – Identification		
Passport	What identification has been included as part of the application. Please do not provide		
Driving Licence	originals.		
Section 4 – Declaration  I declare that the information given by me is correct to the best of my knowledge and that I am entitled to apply for access to the health record referred to above under the terms of the General Data Protection Regulations (2018) and/or Access to Health Records Act (1990).  Please tick One of the following boxes:  I am the patient (complete PART 1	Passport	Birth Certificate	
I have been asked to act by the patient (Complete Part 2 of Section 5 below)			
I declare that the information given by me is correct to the best of my knowledge and that I am entitled to apply for access to the health record referred to above under the terms of the General Data Protection Regulations (2018) and/or Access to Health Records Act (1990).  Please tick <b>one</b> of the following boxes:  I am the patient (complete <b>PART 1</b> Section 5 below)  I have been asked to act by the patient (Complete <b>Part 2</b> of Section 5 below).  Access to Records of the Deceased I am the deceased patient's personal representative and attach confirmation of my appointment (complete <b>PART 1</b> of section 5 below) evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  Access to Records of the Deceased I have a claim arising from the patient's death and wish to access information relevant to my claim – the information will support my claim for the following reasons as well as being evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  For access to records of children or young person aged 13 years to 16 years the child is required to provide consent. (Complete Part 3 of, section 5 below together with the child's authorisation) - See information for applicants guidance  (attach additional information if necessary, please attach LPA documentation and	Driving Licence	Other (please identify)	
I declare that the information given by me is correct to the best of my knowledge and that I am entitled to apply for access to the health record referred to above under the terms of the General Data Protection Regulations (2018) and/or Access to Health Records Act (1990).  Please tick <b>one</b> of the following boxes:  I am the patient (complete <b>PART 1</b> Section 5 below)  I have been asked to act by the patient (Complete <b>Part 2</b> of Section 5 below).  Access to Records of the Deceased I am the deceased patient's personal representative and attach confirmation of my appointment (complete <b>PART 1</b> of section 5 below) evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  Access to Records of the Deceased I have a claim arising from the patient's death and wish to access information relevant to my claim - the information will support my claim for the following reasons as well as being evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  Access to Records of the Deceased I have a claim arising from the patient's death and wish to access information relevant to my claim - the information will support my claim for the following reasons as well as being evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  For access to records of children or young person aged 13 years to 16 years the child is required to provide consent. (Complete Part 3 of, section 5 below together with the child's authorisation) - See information for applicants guidance  (attach additional information if necessary, I have Lasting Power of Attorney for the patient (please attach LPA documentation and	<u> </u>		
apply for access to the health record referred to above under the terms of the General Data Protection Regulations (2018) and/or Access to Health Records Act (1990).  Please tick One of the following boxes:  I am the patient (complete PART 1	Section 4 – Declaration		
I have been appointed the Guardian for the patient, who is over age 16 under a Guardianship order. (complete PART 1 of Section 5 below)  I have been asked to act by the patient (Complete Part 2 of Section 5 below).  Access to Records of the Deceased I am the deceased patient's personal representative and attach confirmation of my appointment (complete PART 1 of Section 5 below) evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  Access to Records of the Deceased I am the deceased patient's personal representative and attach confirmation of my appointment (complete PART 1 of section 5 below) evidenced via a FULL copy of the Will on its own will not be sufficient)  Access to Records of the Deceased I have a claim arising from the patient's death and wish to access information relevant to my claim — the information will support my claim of the following reasons as well as being evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate . (please note in some cases a copy of the Will on its own will not be sufficient)  For access to records of children or young person aged 13 years to 16 years the child is required to provide consent. (Complete Part 3 of, section 5 below together with the child's authorisation) - See information for applicants guidance  I have Lasting Power of Attorney for the patient (please attach LPA documentation and	apply for access to the health record referred to above under the terms of the General Data Protection		
the patient , who is over age 16 under a Guardianship order. (complete PART 1 of Section 5 below )    Access to Records of the Deceased   I am the deceased patient's personal representative and attach confirmation of my appointment (complete PART 1 of Section 5 below)   Probate. (please note in some cases a copy of the Will on its own will not be sufficient)	Please tick <b>one</b> of the following boxes:		
I have been asked to act by the patient (Complete Part 2 of Section 5 below).  I am the deceased patient's personal representative and attach confirmation of my appointment (complete PART 1 of section 5 below) evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  Access to Records of the Deceased I have a claim arising from the patient's death and wish to access information relevant to my claim — the information will support my claim for the following reasons as well as being evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate. (please note in some cases a copy of the Will on its own will not be sufficient)  For access to records of children or young person aged 13 years to 16 years the child is required to provide consent. (Complete Part 3 of, section 5 below together with the child's authorisation) - See information for applicants guidance  (attach additional information if necessary, I have Lasting Power of Attorney for the patient (please attach LPA documentation and		the patient, who is over age 16 under a  Guardianship order. (complete <b>PART 1</b> of	
I have parental responsibility/legal guardianshir for the patient who is under 13 years of age (complete PART 1 Section 5 below)  For access to records of children or young person aged 13 years to 16 years the child is required to provide consent. (Complete Part 3 of, section 5 below together with the child's authorisation) - See information for applicants guidance  I have a claim arising from the patient's death and wish to access information will support my claim for the following reasons as well as being evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate .(please note in some cases a copy of the Will on its own will not be sufficient)  : (attach additional information if necessary, I have Lasting Power of Attorney for the patient (please attach LPA documentation and		I am the deceased patient's personal representative and attach confirmation of my appointment (complete PART 1 of section 5 below) evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate.(please note in some cases a copy of the Will on its	
child's authorisation) - See information for applicants guidance (attach additional information if necessary)  I have Lasting Power of Attorney for the patient (please attach LPA documentation and	for the patient who is under 13 years of age (complete PART 1 Section 5 below)  For access to records of children or young person aged 13 years to 16 years the child is required to provide consent. (Complete	I have a claim arising from the patient's death and wish to access information relevant to my claim – the information will support my claim for the following reasons as well as being evidenced via a FULL copy of the Deceased persons Will and / or a Grant of Probate .(please note in some cases a copy of the	
(please attach LPA documentation and	child's authorisation) - See information for applicants	(attach additional information if necessary)	
	(please attach LPA documentation and	nt	



## **Section 5: Authorisation Part 1** I have read the 'Information for Applicants' information sheet and authorise a request to access health records to be carried out. I enclose two forms of identification. Applicant's Signtaure Date: Section 5: Authorisation Part 2 (to be completed only when applicant is acting on behalf of another person) \_\_\_\_(print name) hereby authorise the Royal United Hospitals Bath NHS Foundation Trust to release any personal health records it may hold relating to me to\_\_\_\_\_(insert name of person acting on your behalf) to whom I have given consent to act on my behalf. Signed:\_\_\_\_\_ Date: Section 5: Authorisation Part 3 (to be completed only in the case of a child aged 13 to 16 years - see section 4) I \_\_\_\_\_ (name of applicant) address) certify that the patient (Child) understands the nature of this application and has consented to the release. Signed: (Applicant) Signed : Child or young person's signature \_\_\_\_\_ Date: \_\_\_\_\_

## **Completed Forms:**

Completed forms should be sent to:

Medical Records Department
Health Informatics Service
Apley House (E5)
Royal United Hospitals Bath NHS Foundation Trust
Combe Park
BATH
BA1 3NG

Or email form with attachments to: <a href="mailto:ruh-tr.medicalrecordenquiries@nhs.net">ruh-tr.medicalrecordenquiries@nhs.net</a>